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 1. Information Disclosure Statement (IDS) (4 pages);
 2. Certificate of Transmission (1 page); and
 3. Fax Cover Sheet (1 page).

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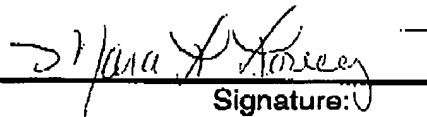
Attorney Docket No. 52493.000352
Application Serial No: 10/781,780

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Patent
Attorney Docket No. 52493.000352
Patent Application No. 10/781,780

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
K. Chalermkraivuth, et al.)
Serial No.: 10/781,780) Art Unit: 3624
Filed: February 20, 2004) Examiner: Unassigned

For: "SYSTEMS AND METHODS FOR MULTI-OBJECTIVE PORTFOLIO
OPTIMIZATION"

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully requests consideration of the references listed on the attached Form PTO-1449.

Applicant respectfully requests that the Examiner consider the references cited on the PTO-1449 and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

This Information Disclosure Statement is believed to be submitted before the mailing of a first Office Action. Accordingly, no fees are due. However, if any fees are incurred upon the filing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge the undersigned's Deposit Account No. 50-0206.

Patent
Attorney Docket No. 52493.000352
Patent Application No. 10/781,780

Respectfully submitted,
HUNTON & WILLIAMS

Date:

8-18-05


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FORM PTO-1449 (REV. 7-80) C-9	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: 52493.000352	SERIAL NO.: 10/781,780
LIST OF MATERIALS CITED BY APPLICANT (Use several sheets if necessary) Page 1 of 2		INVENTOR'S NAME: K. Chalermkraivuth, et al.	EXAMINER: Unassigned
		FILING DATE: Februray 20, 2004	GROUP 3624

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	CITE NO.	DOCUMENT NUMBER	DATE	NAME	C L S	SUB CLASS	FILING DATE

	1	5,202,827	4/13/1993	Sober			
	2	5,210,687	5/11/1993	Wolfberg			
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	26	6,895,390	5/17/2005	Hagan			
	27	20020032585	3/14/2002	Keyes			

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U.S. PATENT DOCUMENTS

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